

6(&7,21 5HJXODWRU\ LQIRUPDWLRQ

6DIHW\ KHDOWK DQG HQYLURQPHQWDO UHJXODWLRQV VSHFLILF  
 1DWLRQDO UHJXODWLRQV 8QLWHG 6WDWHV  
 7R[LF 6XEVDQFH &RQWURO \$FW 768\$ SHGLHQWV DUH OLVWHG RU  
 6XSHUIXQG \$PHQGPHQW DQG 5HDXWKRUL]DWLRQ \$FW 6\$5\$ 7,7/(  
 7KH /LVW RI ([WUHPHO\ +D]DUGRXV 6XEVDQFHV DQG 7KHLU 7KUHVKROG  
 6HFWLRQ  
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG  
 6SHFLILF 7R[LF &KHPLFDO /LVWLQJV (3&5\$ 6HFWLRQ  
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG  
 &RPSUHKHQVLYH (QYLURQPHQWDO 5HVSQRVH &RPSHQVDWLRQ D  
 /LVW RI +D]DUGRXV 6XEVDQFHV DQG 5HSRUWDEOH 4XDQWLWLHV &(5  
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG  
 &OHDQ \$LU \$FW  
 QRQH RI WKH LQJUHGHLHQWV DUH OLVWHG  
 5LJKW WR .QRZ +D]DUGRXV 6XEVDQFH /LVW  
 +D]DUGRXV 6XEVDQFH /LVW 1- 57.

1DPH RI VXEVDQFH 1DPH DFF YHQWRU\	WR &\$6 1R	5HDPDUNV &ODVVIL /LV WLRQV WHG	6XE LVWDQXP H QXP EHU	'27 QXP EHU
FDUERQ EODFN FDUERQ EODFN		&\$		

/HJHQG  
 2FFXSDWLRQDO 6DIHW\ DQG +HDOWK \$GPLQLVWUDWLRQ &)5 2FFXSDWLRQ  
 = 7R[LFDQG +D]DUGRXV 6XEVDQFHV -XO\  
 (PHUJHQF\ 5HVSQRVH \*XLGHERRN 5HVHDFK DQG 6SHFLDO 3URJUDPV \$G  
 7UDQVSRUWDWLRQ  
 7/9Vp DQG %(.Vp 7KUHVKROG /LPLW 9DOXHV DQG %LRORJLFDO ([SRVXUH  
 HUQPHQWDO ,QG XVWULDO +\JLHQLVWV \$&\*,+  
 2IILFH RI +D]DUGRXV 0DWHULDOV 6DIHW\ 5HVHDFK DQG 6SHFLDO 3URJUDPV  
 SRUWDWLRQ &)5 +D]DUGRXV 0DWHULDOV 7DEOH 2FWREHU  
 1,26+ 3RFNHW \*XLGH WR &KHPLFDO +D]DUGV 1DWLRQDO ,Q VWLWXWH IRU 2F  
 'HSDUWPHQW RI +HDOWK DQG +XPDQ 6HUylFHV 1R 6HSHWHPEHU